Lengthening and transfer of hamstrings for a flexion deformity of the knee in children with bilateral cerebral palsy

**TECHNIQUE AND PRELIMINARY RESULTS**

Between July 2000 and April 2004, 19 patients with bilateral spastic cerebral palsy who required an assistive device to walk had combined lengthening-transfer of the medial hamstrings as part of multilevel surgery. A standardised physical examination, measurement of the Functional Mobility Scale score and video or instrumented gait analysis were performed pre- and post-operatively. Static parameters (popliteal angle, flexion deformity of the knee) and sagittal knee kinematic parameters (knee flexion at initial contact, minimum knee flexion during stance, mean knee flexion during stance) were recorded. The mean length of follow-up was 25 months (14 to 45).

Statistically significant improvements in static and dynamic outcome parameters were found, corresponding to improvements in gait and functional mobility as determined by the Functional Mobility Scale. Mild hyperextension of the knee during gait developed in two patients and was controlled by adjustment of their ankle-foot orthosis. Residual flexion deformity > 10° occurred in both knees of one patient and was treated by anterior distal femoral physeal stapling. Two children also showed an improvement of one level in the Gross Motor Function Classification System.

A flexion deformity of the knee and a flexed knee gait are common in children with spastic cerebral palsy and may contribute to pain in the knee and difficulty in walking. A flexion deformity may result from spastic muscle imbalance around the knee, but limited walking ability and time spent sitting with the knees flexed also contribute.

The incidence of a flexion deformity of the knee increases with the severity of neurological involvement and is best described in children with cerebral palsy by the Gross Motor Function Classification System (GMFCS) based on the description of self-initiated movement, the ability to sit and walk, and the need for assistive devices and mobility aids. The GMFCS is a five-level, ordinal grading system used to describe gross motor function in children with cerebral palsy. It has been shown to be valid, reliable and stable over time.

The incidence and severity of a flexion deformity of the knee and flexed knee postures increases from GMFCS level I to level V. The natural history of a flexion deformity varies in each GMFCS group and it would seem logical that operations should be based on the GMFCS level and the presumed natural history.

After the introduction of hamstring lengthening, transfer of the hamstrings to the distal femur together with medial and lateral patellar retinacular release was first suggested by Eggers and practised by a number of surgeons for a relatively short period of time. The enthusiasm for transferring all of the hamstring tendons to the femur was short-lived. The improvements of the flexion deformity and extension of the knee in stance were compromised by loss of active knee flexion and the development of recurvatum in a significant number of patients. Modifications of the technique were quickly introduced in the 1950s and 1960s.

At our tertiary level children's hospital, surgical treatment is based on the child's GMFCS level, which we believe provides both a guide to the natural history and a realistic view of long-term gross motor function. Children at GMFCS level I have good function and, although some have increased knee flexion during gait, they do not develop a flexion deformity and usually require management of spasticity rather than surgery for contractures. Children at GMFCS level II may require management of spasticity, but if deformity becomes fixed, conservative lengthening of the medial hamstrings is performed as part of multilevel surgery. Children with flexion deformities of the knee at GMFCS levels III and IV are man-
The indications for combined hamstring lengthening and transfer were: 1) bilateral spastic cerebral palsy (spastic diplegia and quadriplegia); 2) a flexion deformity of the knee of ≥ 15° in combination with a flexed knee posture in standing and walking; 3) GMFCS level III or IV, indicating that walking and standing were only possible with the aid of an assistive device; and 4) pre- and post-operative assessment by an experienced physiotherapist and recording of gross motor function by the GMFCS and Functional Mobility Scale.

For the purposes of this preliminary study, we included patients who had hamstring lengthening-transfer in the context of multilevel soft-tissue surgery. We excluded children who had bony surgery, such as femoral osteotomy, tibial osteotomy and foot-stabilisation procedures, to minimise the confounders on recovery and function.

Between July 2000 and April 2004, 19 children and adolescents (38 knees) with bilateral spastic cerebral palsy and a fixed flexion deformity of the knee had combined lengthening-transfer of the medial hamstrings, in the context of multilevel soft-tissue surgery. All underwent semitendinosus transfer to the adductor magnus tendon and fractional lengthening of gracilis and semimembranosus in combination with other proximal and distal procedures as part of their multilevel surgery. Other procedures performed included fractional lengthening of biceps (seven patients), intramuscular lengthening of psoas over the brim of the pelvis for a flexion deformity of the hip (16) and management of equinus deformity of the ankle with either botulinum toxin (8) or by a Strayer-type recession of gastrocnemius (7).

Table I gives the clinical details and surgical treatment.
Each child was evaluated by the taking of a comprehensive history, physical examination, videotaping of standing and walking, and when feasible, instrumented gait analysis. Static joint examination was performed using a standardised protocol, which has been previously described and which has acceptable reliability. Emphasis was placed on the measurement of the popliteal angle and flexion deformity of the knee. Some children at GMFCS level III were capable of having a three-dimensional instrumented gait analysis including kinematic capture, but not the capture of kinetics. Children at GMFCS level IV in our centre have a two-dimensional video recording of gait, but are not capable of three-dimensional instrumented gait analysis. This was performed using reflective markers placed on the lower limbs according to the standard Vicon Clinical Manager protocol (Vicon; Oxford Metrics Ltd, Oxford, UK). Six cameras, set at 50Hz, collected three-dimensional movement data (Vicon 370 System; Oxford Metrics Ltd) for analysis using Vicon Clinical Management (Oxford Metrics Ltd). Children were asked to walk at a self-selected walking speed and multiple trials were captured, from which a representative trial was identified for subsequent analysis. Sagittal knee kinematic parameters for analysis included knee flexion at initial contact, maximum knee extension during stance and mean knee extension during the stance phase. Sagittal gait patterns were classified as jump gait, apparent equinus, or crouch as previously described by Rodda et al. A physiotherapist (ARH) recorded the Functional Mobility Scale before surgery and at the most recent follow-up.

Operations technique. With the patient in the prone position, the medial hamstrings (Fig. 1a) are approached through a midline popliteal skin incision measuring 3 to 6 cm in length. Semitendinosus is mobilised close to its tibial
insertion, divided and drawn up into the proximal part of the incision. Gracilis is lengthened by intramuscular tenotomy (Fig. 1b); the semimembranosus, and when indicated, biceps femoris are lengthened by a fractional technique involving one or two oblique incisions in the fascia (Fig. 1c). Biceps is lengthened only when the flexion deformity of the knee is 10° or more, after lengthening of the medial hamstrings. The tendon of adductor magnus is palpated and followed to its insertion at the adductor tubercle. Retractors are inserted and the adductor magnus tendon viewed by gently cleaning the overlying fat from its surface. A right-angle clamp is passed from lateral to medial underneath the adductor magnus tendon and grasps semitendinosus (Fig. 2). This is pulled through from medial to lateral and then sutured to itself and to the adductor magnus tendon with No 1 Ethibond (Ethicon Inc., Somerville, New Jersey) under slight tension (Fig. 2). The wound is closed in layers with careful closure of the deep fascia to prevent the lengthened hamstring tendons adhering to skin.

Post-operatively, the patient is immobilised in a removable knee splint or temporary back slab, depending on what additional surgery has been undertaken. Patients are managed by epidural infusion intra- and post-operatively. Sitting is restricted to 70° for three weeks to minimise tension on the semitendinosus transfer. Weight-bearing is begun as tolerated, unless other surgery dictates otherwise. All patients are fully weight-bearing by three weeks after operation.

Most children with flexion deformities of the knee greater than 15° will have an overt or occult flexion deformity of the hip which should be treated at the same operative session. Some will also have a contracture of gastrocnemius which may require recession.

Statistical analysis. The popliteal angle, fixed-flexion deformity of the knee and knee kinematic parameters after surgery were compared with the pre-operative values using the paired t-test. For the Functional Mobility Scale, post-operative were compared with pre-operative values using the Wilcoxon signed-rank test. A p value of < 0.05 was considered to be significant and all analyses were performed in Stata statistical software, Release 8.0 (Stata Corporation, College Station, Texas).

Results

Static parameters (Table II). Pre-operatively, the mean popliteal angle at the right and left knees was 69.9° and 70.2°, respectively, which improved after operation to 42.3° and 42.8°, respectively. The mean differences in the pre- and post-operative popliteal angle of 27.6° on the right and 27.2° on the left were statistically significant (p < 0.0001). The mean fixed-flexion deformity on the right was 17° and 18.2° on the left, improving to 42.3° and 42.8°, respectively. The mean knee flexion at initial contact improved from 39° pre-operatively to 22° post-operatively (p < 0.001). The mean minimum knee flexion during the stance phase improved from 26° pre-operatively to 8° post-operatively (p < 0.001). The mean knee flexion during the stance phase improved from 34° pre-operatively to 16° post-operatively (p < 0.001). Figure 3 shows the mean pre- and post-operative sagittal knee kinematics of the ten patients at GMFCS level III who were capable of a three-dimensional gait analysis. Significant improvements were found in dynamic knee function and no deterioration was noted in pelvic tilt.

Functional parameters (Table II). The median Functional Mobility Scale scores for five, 50 and 500 metres were 2, 2 and 2, respectively pre-operatively, improving to 3, 3 and 2, respectively after operation. These changes in the Functional Mobility Scale were statistically-significant for the 5 and 50 metre scores (p = 0.005 and p = 0.002, respectively); but not the 500 metre score (p = 0.08).

Complications. There were no infections, nerve palsies or vascular complications. However, three knees in two patients developed mild hyperextension during gait. These
were managed by solid ankle-foot orthoses and have had no further progression of the deformity to date. One patient (case 5) had residual bilateral flexion deformities of the knee greater than 10° at the final follow-up. This boy, aged 14 years 6 months, was treated by anterior distal femoral physeal stapling.

Discussion

A flexed knee gait is very common in children and adolescents with bilateral spastic cerebral palsy and is part of the pattern of jump knee, apparent equinus and crouch gait as described by Rodda et al.\textsuperscript{14} Given the variety of gait patterns and variations in the severity of the flexion deformity of the knee, a range of procedures may be required to manage flexed knee gait in such children. At our institution children with flexed knee gait and flexion deformities of the knee of less than 10° to 15° are managed by conservative hamstrings lengthening as part of a single-event, multilevel surgery. Children with flexed knee gait and a flexion deformity of the knee between 15° and 25° undergo combined medial hamstrings lengthening and transfer of semitendinosus to the adductor tubercle. Flexion deformities greater than 25° may require bony procedures such as anterior distal femoral physeal stapling\textsuperscript{15} or distal femoral extension osteotomy.\textsuperscript{16,17}

The development of instrumented gait analysis, supplemented by musculoskeletal modelling, has suggested that hamstring shortening is not as common an issue as was once thought, when clinicians were guided purely by clinical examination.\textsuperscript{18-20} Some children with flexed knee gait have shortened hamstrings, but others have hamstrings of normal or even excessive length.\textsuperscript{18-20} The fact remains, however, that most children, especially those with more severe motor involvement, are prone to develop flexion contractures of the knee, resulting in severe knee flexion during attempts to stand and walk, which greatly limits function.\textsuperscript{1,21} Recurrent knee flexion postures are very common in these more severely-involved children. It is likely that this provided the impetus for the development of the hamstrings transfer procedures described by Eggers\textsuperscript{3} and others.\textsuperscript{4,5}

Existing studies have a lack of data regarding functional outcomes and there are no studies reporting validated mobility scales or instrumented gait analysis, apart from the recent study by Metaxiotis, Wolf and Doederlein.\textsuperscript{22} They reported the outcome of transferring semitendinosus to the medial tendinous stump of gastrocnemius, combined with additional soft tissue and bony procedures.\textsuperscript{22} The improvements in dynamic knee function in their study were very similar to the present study and as in this study were achieved without an increase in anterior pelvic tilt.\textsuperscript{22}

We selected a conservative approach to hamstring transfer surgery, by lengthening of gracilis and semimembranosus and transfer of only semitendinosus. Its use was restricted to those children who had established flexion deformities of the knee and whose GMFCS level suggested that they would be prone to recurrent flexion contractures of the knee. Transferring semitendinosus removes it as a knee flexor but retains it as a hip extensor. The latter function is very important in minimising the increase in anterior pelvic tilt which is often observed when all the hamstrings are lengthened. Increased anterior pelvic tilt can lead to an increase in lumbar lordosis, low back pain and spinal instability.\textsuperscript{11,17,21} The overall effect is to weaken the knee flexors sufficiently to allow knee extension during gait but to preserve adequate strength for active hip extension and knee flexion during gait.\textsuperscript{22}

We have found the procedure to be simple to perform. It has been effective in the short term in the correction of a flexion deformity of the knee in most children and has resulted in improvement in standing and walking as assessed by the Functional Mobility Scale.

No knees developed extension deformities, although three in two children showed mild hyperextension on instrumented gait analysis. This was easily controlled by adjustment of the ankle-foot orthosis by a plantar-flexion stop, to redirect the ground reaction force closer to the knee. Residual flexion deformities of the knee were corrected in one adolescent by anterior distal femoral physeal stapling.\textsuperscript{15}

Statistically-significant improvements in the popliteal angle, flexion deformity and dynamic knee function parameters were associated with improved mobility. Children required less support to walk shorter distances (5 and 50 metres), but not for community distances (500 metres). The improvements in the Functional Mobility Scale at 5 and 50 metres, corresponded to improvement in standing for transfers and mobility in the home and at school.
Figure 3 summarises the mean sagittal kinematics of the ten GMFCS level-III patients who were capable of an instrumented gait analysis. The pre- and post-operative values were compared with those of able-bodied children. Knee flexion at initial contact improved after surgery, but was still greater than normal. Knee extension approximated to normal values during the loading response and the mid and terminal stance phase. Peak knee flexion was reduced in the swing phase, but the range of movement of the knee improved significantly. These kinematic improvements reflected the clinical improvements in mobility and hence the Functional Mobility Scale.

Two patients have improved by one GMFCS level. The GMFCS is believed to be stable over time, as opposed to the Functional Mobility Scale which is expected to vary with natural history or surgical intervention. One patient (case 5) as depicted in Figure 4 is a good example. Preoperatively, he was at GMFCS level IV and Function Mobility Scale 2, 1, 1. Post-operatively, he improved to GMFCS level III and Functional Mobility Scale 3, 3, 1.

The finding that two patients in our series improved by one level on the GMFCS scale is of functional significance for these two patients. It is also of considerable importance in terms of our theoretical framework for understanding gross motor function in children with cerebral palsy. Children may not necessarily be condemned to remain within a level of gross motor function dictated by their cerebral lesion and neurological involvement. Gross motor function may be adversely affected by secondary musculoskeletal pathology. Correction of fixed deformities in some children can result in a significant improvement in gross motor function. The relationship of the GMFCS level to musculoskeletal deformity and its correction deserves further study.

Supplementary Material

A table describing the levels of the Gross Motor Function Classification System and a figure showing the ratings of the Functional Mobility Scale are available with the electronic version of the article on our website at www.jbjs.org.uk

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References


